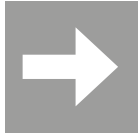# CMMC GAP ASSESSMENT QUOTE REQUEST FORM

INSTRUCTIONS FOR COMPLETION:

Please ensure when completing this form it is downloaded and saved locally before completing. This interactive PDF should be opened and completed in Adobe Reader/Acrobat before resaving and returning to NQA.

IF YOU ARE A MULTI-SITE CLIENT PLEASE DOWNLOAD, COMPLETE AND RETURN THE NQA MULTI-SITE SUPPLEMENT QUESTIONNAIRE.

1. Organization details:

Company name (Legal entity requiring certification):

Country:

Main office address:

Postcode:

Website:

Contact name:

Job title:

Email:

Direct dial:

Mobile:

2. Requested scope of certification:

Please describe the processes and information to be covered by the CMMC certification.

3. CMMC Level:

- [ ] Level 1 (FCI only)
- [ ] Level 2
- [ ] Level 3 (CUI/DFARS Complaint)
- [ ] Level 4
- [ ] Level 5

4. Total employees at the main site:

5. Are there multiple buildings associated with this site?:   Yes [ ] No [ ]

If yes, number of buildings: ___   Same address as main site?   Yes [ ]   No [ ]

6. Main site - break down of total employees:

Office / non-shift workers: [ ]

How many additional on 1st shift: [ ]   Activities performed: [ ]

How many additional on 2nd shift: [ ]   Activities performed: [ ]

How many additional on 3rd shift: [ ]   Activities performed: [ ]

How many of these are seasonal employees: [ ]   Part time employees: [ ]   Temporary employees: [ ]

Off-site (i.e. sales, installers)? [ ]   Activities performed: [ ]

7. Anticipated dates for activities:

(Optional) Pre-assessment: [ ]

8. Did you use a consultant?   Yes [ ]   No [ ]

Consultant name: [ ]

9. Description of the organization's cybersecurity landscape within the CMMC scope:

Criteria	A	B	C	Details
Information security requirements [confidentiality, integrity and availability, (CIA)]	• Low sensitivity or confidentiality of information; low availability requirements [ ]	• Moderate sensitivity or confidentiality of information; moderate availability requirements [ ]	• High sensitivity or confidentiality of information; high availability requirements [ ]	
Number of critical assets	• Few critical assets [ ]	• Some critical assets [ ]	• Many critical assets [ ]	
Number of processes and interfaces	• Only one key business process with few interfaces [ ]	• 2–3 simple business processes with several interfaces [ ]	• More than 3 business processes with many interfaces [ ]	
Number of products and services	• Only one product/ service in scope [ ]	• 2–3 products/ services in scope [ ]	• More than 3 products/ services in scope [ ]	
Types of processes and tasks	• Standard processes with repetitive tasks [ ]	• Standard but nonrepetitive processes [ ]	• Complex processes or unique activities [ ]	

Criteria	A	B	C	Details
ISMS regulatory requirements	• Low ISMS regulatory requirements	• High ISMS regulatory requirements	• High risk business with (only) limited regulatory requirements	
Degree of critical business *(Critical business sectors are sectors that may affect critical public services that will cause risk to health, security, economy, image and government ability to function that may have a very large negative impact to the country.)	• Organization works in non-critical business sectors	• Organization has customers in critical business sectors	• Organization works in critical business sectors	
Establishment of a Management System	• Other management systems are in place and certified (e.g. QMS, BCMS, ITSMS)	• Some management system requirements are in place, but not certified (e.g. QMS, BCMS, ITSMS)	• No other management system implemented at all	
Demonstrated performance of an ISMS	• Recently certified • Previously implemented & ISMS compliant	• Recent surveillance audit • Previously implemented & partially ISMS compliant	• No certification and no recent audits	
Extent and diversity of technology utilized in the implementation of the various components of the ISMS (e.g. number of different IT platforms, number of segregated networks)	• Highly standardized environment with low diversity (few IT platforms, servers, operating systems, databases, networks, etc.)	• Standardized but diverse IT platforms, servers, operating systems, databases, networks	• High diversity or complexity of IT (e.g. many different segments of net- works, types of servers or databases, number of key applications)	
Extent of outsourcing and third party arrangements used within the scope of the ISMS (including cloud services)	• No outsourcing and little dependency on suppliers, or • Well-defined, managed and monitored outsourcing arrangements • Outsourcer has a certified ISMS • Relevant independent assurance reports are available	• Several partly managed outsourcing arrangements	• High dependency on outsourcing or suppliers with large impact on important business activities, **or** • Unknown amount or extent of outsourcing, **or** • Several unmanaged outsourcing arrangements	

Criteria	A	B	C	Details
Extent of information system development	• No in-house system development • Use of standardized software platforms ☐	• Use of standardized software platforms with complex configuration/ parameterization • (Highly) customized software • Some development activities (in-house or outsourced) ☐	• Extensive internal software development activities with several ongoing projects for important business purpose ☐	
Number of sites and number of Disaster Recovery (DR) sites	• Low availability requirements and • no or one alternative DR site ☐	• Medium or High availability requirements and no or one alternative DR site ☐	• High availability requirements e.g. • 24/7 services • Several alternative DR sites • Several Data Centers ☐	

10. Completed by:

Name:

Job title:

11. Where did you hear about NQA's service? (Tick all that apply)

Existing client ☐	Consultant ☐	NQA's website ☐
Recommendation from another company ☐	Search engine: e.g. Google ☐	Trade press ☐
Exhibition ☐	Social media ☐	

Other (please specify)

12. Do you currently have 3rd party accredited ISO 27001 certification?　Yes ☐　No ☐

If yes, please tell us the name of your current certification body:

If you have any problems completing this form please call 0800 052 2424 (option 2) or email sales@nqa.com