



# CMMC GAP ASSESSMENT QUOTE REQUEST FORM

Please return via e-mail to your NQA Associate or fax to 978-263-0785.

## Organization overview

Company name:

Main site address:

City & state:

Zip code:

Website:

Fax:

Contact name:

Job title:

E-mail:

Tel:

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**1. Scope:** Please describe the processes and information to be covered by the CMMC certification.

### 1a. CMMC Level

Level 1 (FCI only)

Level 2

Level 3 (CUI/DFARS Complaint)

Level 4

Level 5

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### 2. Total employees at the main site (address on page 1):

**2a. Are there multiple buildings associated with this site?**

Yes  No

If yes, number of buildings:

Same address as main site?

Yes  No

**Main site - break down of total employees:** Office / non-shift workers:

How many additional on 1st shift:

Activities performed:

How many additional on 2nd shift:

Activities performed:

How many additional on 3rd shift:

Activities performed:

How many of these are seasonal employees:

Part time employees:

Temporary employees:

Off-site (i.e. sales, installers)?

Activities performed:

**3. Anticipated dates for activities:**

(Optional) Pre-assessment:

**4. Did you use a consultant?**

Yes

No

Consultant name:

**5. Description of the organization's cybersecurity landscape within the CMMC scope.**

| Criteria  | A  | B  | C  | Details |
|---|--|--|--|---------|
| <b>• Information security requirements [confidentiality, integrity and availability, (CIA)]</b> | <ul style="list-style-type: none"><li>• Low sensitivity or confidentiality of information; low availability requirements</li></ul> | <ul style="list-style-type: none"><li>• Moderate sensitivity or confidentiality of information; moderate availability requirements</li></ul> | <ul style="list-style-type: none"><li>• High sensitivity or confidentiality of information; high availability requirements</li></ul> |         |
| <b>• Number of critical assets</b>  | <ul style="list-style-type: none"><li>• Few critical assets</li></ul>  | <ul style="list-style-type: none"><li>• Some critical assets</li></ul>   | <ul style="list-style-type: none"><li>• Many critical assets</li></ul>   |         |
| <b>• Number of processes and interfaces</b>   | <ul style="list-style-type: none"><li>• Only one key business process with few interfaces</li></ul>                                | <ul style="list-style-type: none"><li>• 2-3 simple business processes with several interfaces</li></ul>                                      | <ul style="list-style-type: none"><li>• More than 3 business processes with many interfaces</li></ul>                                |         |
| <b>• Number of products and services</b>  | <ul style="list-style-type: none"><li>• Only one product/ service in scope</li></ul>   | <ul style="list-style-type: none"><li>• 2-3 products/ services in scope</li></ul>  | <ul style="list-style-type: none"><li>• More than 3 products/ services in scope</li></ul>  |         |

| Criteria  | A  | B   | C  | Details |
|---|--|---|--|---------|
| <ul style="list-style-type: none"> <li>• <b>Types of processes and tasks</b></li> </ul>   | <ul style="list-style-type: none"> <li>• Standard processes with repetitive tasks</li> </ul>   | <ul style="list-style-type: none"> <li>• Standard but non-repetitive processes</li> </ul>   | <ul style="list-style-type: none"> <li>• Complex processes or unique activities</li> </ul>   |         |
| <ul style="list-style-type: none"> <li>• <b>ISMS regulatory requirements</b></li> </ul>   | <ul style="list-style-type: none"> <li>• Low ISMS regulatory requirements</li> </ul>   | <ul style="list-style-type: none"> <li>• High ISMS regulatory requirements</li> </ul>   | <ul style="list-style-type: none"> <li>• High risk business with (only) limited regulatory requirements</li> </ul>   |         |
| <ul style="list-style-type: none"> <li>• <b>Degree of critical business</b></li> </ul> <p><i>*(Critical business sectors are sectors that may affect critical public services that will cause risk to health, security, economy, image and government ability to function that may have a very large negative impact to the country.)</i></p> | <ul style="list-style-type: none"> <li>• Organization works in non-critical business sectors</li> </ul>  | <ul style="list-style-type: none"> <li>• Organization has customers in critical business sectors</li> </ul>                                     | <ul style="list-style-type: none"> <li>• Organization works in critical business sectors</li> </ul>  |         |
| <ul style="list-style-type: none"> <li>• <b>Establishment of a Management System</b></li> </ul>   | <ul style="list-style-type: none"> <li>• Other management systems are in place and certified (e.g. QMS, BCMS, ITSMS)</li> </ul>  | <ul style="list-style-type: none"> <li>• Some management system requirements are in place, but not certified (e.g. QMS, BCMS, ITSMS)</li> </ul> | <ul style="list-style-type: none"> <li>• No other management system implemented at all</li> </ul>  |         |
| <ul style="list-style-type: none"> <li>• <b>Demonstrated performance of an ISMS</b></li> </ul>  | <ul style="list-style-type: none"> <li>• Recently certified</li> <li>• Previously implemented &amp; ISMS compliant</li> </ul>  | <ul style="list-style-type: none"> <li>• Recent surveillance audit</li> <li>• Previously implemented &amp; partially ISMS compliant</li> </ul>  | <ul style="list-style-type: none"> <li>• No certification and no recent audits</li> </ul>  |         |
| <ul style="list-style-type: none"> <li>• <b>Extent and diversity of technology utilized in the implementation of the various components of the ISMS (e.g. number of different IT platforms, number of segregated networks)</b></li> </ul>   | <ul style="list-style-type: none"> <li>• Highly standardized environment with low diversity (few IT-platforms, servers, operating systems, databases, networks, etc.)</li> </ul> | <ul style="list-style-type: none"> <li>• Standardized but diverse IT platforms, servers, operating systems, databases, networks</li> </ul>      | <ul style="list-style-type: none"> <li>• High diversity or complexity of IT (e.g. many different segments of networks, types of servers or databases, number of key applications)</li> </ul> |         |

| Criteria   | A  | B  | C   | Details |
|--|--|--|---|---------|
| <ul style="list-style-type: none"> <li>• <b>Extent of outsourcing and third party arrangements used within the scope of the ISMS (including cloud services)</b></li> </ul> | <ul style="list-style-type: none"> <li>• No outsourcing and little dependency on suppliers, or</li> <li>• Well-defined, managed and monitored outsourcing arrangements</li> <li>• Outsourcer has a certified ISMS</li> <li>• Relevant independent assurance reports are available</li> </ul> | <ul style="list-style-type: none"> <li>• Several partly managed outsourcing arrangements</li> </ul>  | <ul style="list-style-type: none"> <li>• High dependency on outsourcing or suppliers with large impact on important business activities, or</li> <li>• Unknown amount or extent of outsourcing, or</li> <li>• Several unmanaged outsourcing arrangements</li> </ul> |         |
| <ul style="list-style-type: none"> <li>• <b>Extent of information system development</b></li> </ul>  | <ul style="list-style-type: none"> <li>• No in-house system development</li> <li>• Use of standardized software platforms</li> </ul>   | <ul style="list-style-type: none"> <li>• Use of standardized software platforms with complex configuration/parameterization</li> <li>• (Highly) customized software</li> <li>• Some development activities (in-house or outsourced)</li> </ul> | <ul style="list-style-type: none"> <li>• Extensive internal software development activities with several ongoing projects for important business purpose</li> </ul>   |         |
| <ul style="list-style-type: none"> <li>• <b>Number of sites and number of Disaster Recovery (DR) sites</b></li> </ul>  | <ul style="list-style-type: none"> <li>• Low availability requirements and no or one alternative DR site</li> </ul>  | <ul style="list-style-type: none"> <li>• Medium or High availability requirements and no or one alternative DR site</li> </ul>   | <ul style="list-style-type: none"> <li>• High availability requirements e.g.</li> <li>• 24/7 services</li> <li>• Several alternative DR sites</li> <li>• Several Data Centers</li> </ul>  |         |